

### HLeBroking Clients Referral Campaign (“Campaign”)

Campaign Period – 6 months (1<sup>st</sup> Jan 2019 to 30<sup>th</sup> June 2019, both dates inclusive).

#### Eligibility

Participation in this Campaign shall be limited to Eligible Customers\*.

An Eligible Customer is defined as an existing customer of Hong Leong Investment Bank Berhad (“HLIB”).

Eligible Customers who successfully introduce new customers (i.e. those customers who have never held any accounts with HLIB) to open a HLIB Trading Account and successfully apply for HLeBroking online share trading portal access (collectively referred to as “**HLeBroking Account**”) with HLIB during the Campaign Period (“New Customers”) shall be entitled to participate in this Campaign.

\* *There is no automatic eligibility for participation in this Campaign. HLIB reserves the right to include or exclude any customer for this Campaign. Fulfilment of the criteria set out above is an indication only, and not a guarantee of eligibility. Final eligibility shall be determined entirely at HLIB’s discretion.*

#### Campaign Mechanism

1. The Eligible Customer will receive a cash reward of **RM100** if during the Campaign Period, a New Customer: -

- (a) has successfully opened his/her HLeBroking Account and performed at least four (4) successful buy transactions **using the** HLeBroking Online Share Trading Platform or HLeBroking Mobile Apps (“Successful Referral”); and
- (b) has identified the Eligible Customer as his/her referring party either by name and/or client code and/or a unique referral code identifier.

*Note: HLIB reserves the right to substitute the cash reward with another reward/gift as it deems necessary from time to time and no further enquiry will be entertained.*

2. The maximum cash reward that an Eligible Customer may receive under this Campaign for each Successful Referral’s buy transactions shall be **RM100**.

3. The Eligible Customer shall be entitled to earn cash rewards subject to the following conditions: -

- (a) the Eligible Customer shall not provide any advice on securities trading to the New Customers. All trading performed will be at the New Customers’ sole discretion;
- (b) the Eligible Customer must observe and comply with HLIB’s trading account terms and conditions and any applicable laws and rules. Any non-compliance will result in termination of his/her participation in this Campaign; and
- (c) the New Customers acknowledge that they are informed and agree to the mechanics of this Campaign as set out in the Letter of Acknowledgement in Appendix I.

4. A successful buy transaction refers to a completed buy contract.
5. The cash reward shall be credited into the Eligible Customer's HLeBroking trust account after the Campaign Period.
6. If a New Customer submits more than one HLeBroking application form and names different Eligible Customers as introducers in each of the forms, only the Eligible Customer named in the HLeBroking application form that is first to be processed by HLIB shall be entitled to the cash reward.
7. HLIB is not responsible for and does not have any control whatsoever for any internet network failure and/or interruption that may be experienced during the process of sending any e-mails to the Eligible Customer. HLIB shall not be liable for any delay or non-receipt of any e-mails transmitted to the Eligible Customer.

### **General Terms and Conditions**

1. By transacting or carrying out any trade during the Campaign Period, the Eligible Customer agrees:-
  - (a) to be bound by all the terms and conditions herein contained; and
  - (b) that HLIB's decision on all matters relating to the Campaign shall be final, conclusive and binding and no further correspondence and/or appeal to dispute HLIB's decision shall be entertained.
2. HLIB reserves the right to suspend, disqualify or exclude any person from participating or continuing to participate in the Campaign in the event such person or the Successful Referral referred by them is under Default Status. A person shall be deemed as under Default Status if :- (i) such person is in breach of the terms and conditions of the Campaign or the terms and conditions in the Trading Account; (ii) such person's Trading Account is suspended, cancelled or terminated ; and/or (iii) HLIB in its absolute discretion deems fit. HLIB may at its sole discretion allow such Eligible Customer to continue their participation in the Campaign either fully or partly, if their or the status of the Successful Referral referred by them is rectified and no longer under Default Status, (i.e., it is rectified/regularised to HLIB's satisfaction, no longer in breach of the terms and conditions herein and/or where applicable, the suspension of their Trading Account is lifted).
3. HLIB reserves the right to discontinue, suspend or terminate this Campaign at any time at its sole discretion with notification to the Eligible Customer in any manner deemed practical at its discretion. The Eligible Customer shall not be entitled to claim any compensation from or against HLIB for any loss or damage suffered as a result of any such discontinuation suspension or termination. In the event HLIB discontinues, suspends or terminates the Campaign prior to the expiry date of the Campaign, HLIB will notify the Eligible Customer as soon as practicable of the discontinuation, suspension or termination and the terms, if any, thereof.
4. Acting reasonably, HLIB reserves the right, at any time, and without notice, to add, delete and/or vary the terms and conditions of the Campaign, including but not limited to, as follows:- (a) varying the eligibility requirements for the Campaign; (b) varying or imposing any applicable fees; or (c) adding or reducing any other benefit..

5. If HLIB makes changes to these terms and conditions (i.e., adds, deletes and/or varies the terms and conditions of the Campaign), HLIB will, on a best efforts basis, provide the Eligible Customer with prior notice. If it is not reasonably possible to provide the Eligible Customer with prior notice for any such changes (for example if regulatory requirements require HLIB to make changes immediately), HLIB will notify the Eligible Customer as soon as reasonably possible thereafter. Changes may be communicated by e-mail, by way of a notice displayed on HLeBroking's website or in any other manner deemed practical and changes will be immediately binding on the Eligible Customer upon dispatch of the email, display of such notice on HLeBroking's website or delivery (in any other manner deemed practical), as applicable. If the Eligible Customer is not satisfied with the changes to the Campaign or if for any other reason the Eligible Customer wishes to withdraw from the Campaign, the Eligible Customer may terminate his/her participation in the Campaign immediately by giving HLIB notice to that effect in writing, or by contacting HLIB Helpdesk Centre (contact details listed below).
6. To the extent not prohibited by law, HLIB expressly excludes and disclaims any representations, warranties or endorsements, express or implied, in relation to any warranty of quality, merchantability or fitness for purpose in respect of any part of the Campaign.
7. These terms and conditions and all applicable Malaysian laws and regulations shall bind this Campaign and be governed by and construed in accordance with the laws of Malaysia and the Eligible Customers agree to submit to the jurisdiction of the Courts of Malaysia.
8. These terms and conditions shall be read together with the Terms and Conditions of the **HLeBroking Account**. In the event of any inconsistency between the terms and conditions herein and the Terms & Conditions of the **HLeBroking Account**, these terms and conditions shall prevail, in respect of the Campaign only, to the extent of such inconsistency.

Nothing contained in these terms and conditions nor shall any part of the Campaign be construed as an offer, recommendation or solicitation to buy or sell any securities.

For more information, you may contact our HLIB Helpdesk Centre at 03 - 2080 8777 or email us at [Helpdesk@hlib.hongleong.com.my](mailto:Helpdesk@hlib.hongleong.com.my).